

APR 25 2005

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PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1978  
EDWARD B. EVANS 1938-1971

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April 25, 2005

**FACSIMILE COVER SHEET**

To: Examiner Hung T. Vy  
Group Unit No. 2821  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Fax: 703-872-9306

From: William R. Allen, Ph.D.

Re: Applicants: James W. Schmitkons  
et al.  
Title: MICROWAVE EXCITED  
ULTRAVIOLET LAMP SYSTEM  
WITH SINGLE ELECTRICAL  
INTERCONNECTION  
Serial No.: 10/764,929  
Filing Date: January 26, 2004  
Atty Docket No.: NOR-1091A

Pages: 15 (including cover sheet)

**MESSAGE/COMMENTS**

Please see the Amendment Transmittal (in duplicate) and  
Preliminary Amendment for filing in connection with the above-  
referenced application.

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T. Vy; Fax Number: (703) 872-9306 on April 25, 2005.

William R. Allen

William R. Allen, Reg. No. 48,389

25 April 2005

Date

Applicants: James W. Schmitkons et al.  
Serial No: 10/764,929  
Filing Date: January 26, 2004  
Art Unit: 2821  
Examiner: Hung T. Vy  
Confirmation No.: 9643  
Title: MICROWAVE EXCITED ULTRAVIOLET LAMP SYSTEM WITH  
SINGLE ELECTRICAL INTERCONNECTION  
Atty Docket: NOR-1091A

Cincinnati, OH

April 25, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.
2. ☐ Small Entity status is claimed.  
☒ Other than a Small Entity.
3. The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		LARGE ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Extra	Present Rate	Fee	Present Rate	Fee
TOTAL	20	MINUS	20	= 0	x \$25	\$0	x \$50	\$0
INDEP	5	MINUS	3	= 2	x \$100	\$0	x \$200	\$400
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+\$180	\$0	+\$360	\$0
TOTALS					TOTAL FEE	\$	TOTAL FEE	\$400

- ☆ If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 ☆☆ If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 ☆☆☆ If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid for" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

\_\_\_\_\_ No additional fee for claims is required.

4. Attached is a check in the sum of \$\_\_\_\_\_.

xx Please charge my Deposit Account No. 23-3000 in the amount of **\$400**. **A duplicate copy of this sheet is attached.**

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

- (a) \_\_\_\_\_ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
___	one month	\$ 120.00	\$ 60.00
___	two months	\$ 450.00	\$225.00
___	three months	\$1,020.00	\$510.00
___	four months	\$1,590.00	\$795.00
___	five months	\$2,160.00	\$1,080.00

\_\_\_ Attached is a check in the amount of \$\_\_\_ for the \_\_\_ month extension fee as required by 37 C.F.R. § 1.17(c)

\_\_\_ Please charge my Deposit Account No. 23-3000 in the amount of \$\_. A duplicate copy of this sheet is attached.

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

\_\_\_ An extension for \_\_\_ months has already been secured and the fee paid thereof of \$\_\_\_ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$\_\_\_.

OR

(b) XX Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

XX If any additional fee for claims or extension of time is required, charge Account No. 23-3000. A duplicate of this transmittal is attached.

Respectfully submitted,  
WOOD, HERRON & EVANS, L.L.P.

William R. Allen  
William R. Allen  
Reg. No. 48,389

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Attorney Docket: NOR-1091A

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April 25, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PRELIMINARY AMENDMENT**

Before examination, please preliminarily amend this application as follows:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 8 of this paper.